

Electronic Acknowledgement Receipt

EFS ID:	7215443
Application Number:	10001900
International Application Number:	
Confirmation Number:	8995
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-073001
Receipt Date:	16-MAR-2010
Filing Date:	14-NOV-2001
Time Stamp:	11:07:18
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$140
RAM confirmation Number	8130
Deposit Account	061050
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Terminal Disclaimer Filed	09857-0073001_TERDISC.pdf	481031 8bae425d2fe0a71a0bbcd29fc3becc25562 8ca1	no	2
---	---------------------------	---------------------------	---	----	---

Warnings:**Information:**

2	Transmittal Letter	09857-0073001_TRSLETTER.pdf	234748 ad4ca979baf1f504bd0c4209aef1c1021a5 17b	no	1
---	--------------------	-----------------------------	--	----	---

Warnings:**Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	29680 613d2ef74ef1a0029b4ff371c8fa113-772 6a121	no	2
---	-------------------------	--------------	---	----	---

Warnings:**Information:**

Total Files Size (in bytes):	745459
------------------------------	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.